



**Association of Insurance Compliance Professionals<sup>®</sup>**

**AICP Annual Business Meeting  
Virtually Completed**

**December 15, 2020  
11:00 a.m. EDT**

**AGENDA**

**I. Call to Order**

**Bri Dahl**

The Annual Meeting of the Association of Insurance Compliance Professionals (AICP) was held on Tuesday, December 15, 2020 at 11:02a.m. EDT virtually through the Whova Conference website, Bri Dahl being in the Chair and the Secretary, Scott Whitaker being present.

**II. Roll Call: Determination of Quorum**

**Scott Whitaker**

The Secretary took roll and a quorum of members was present.

**III. Reports**

**Secretary's Report**

**Scott Whitaker**

Mr. Whitaker reported the Annual Business Meeting minutes from September 24, 2019 were distributed prior to conference and asked if there were any additions or corrections to the minutes. Ms. Pollitt made a motion to approve the minutes as distributed. Ms. Campbell seconded the motion. The minutes were unanimously approved as distributed.

**Treasurer's Report**

**Jim Antush**

Mr. Antush reported AICP finances for 2019 sharing overall revenue, expenses and indicated net income was approximately \$169,000. Most of this income was due to the 2019 conference and investments. Net gain for the year was approximately \$259,000. He discussed that much of those dollars went to capital improvements, including a total website redesign.

**General Counsel Report**

**Dan Cotter**

Mr. Cotter reminded all that the Anti-Trust policy is in effect during the entire conference even though it is virtual. He publicly thanked Rich Fidei for his time and service to AICP. Mr. Cotter shared some of the work of the Governance Committee including the Emergency bylaws to allow for the current board to continue through 2021 and the removal of the annual meeting requirement for chapters. In addition, a student membership program was initiated thanks to the work from Rona Finkelstein and Sam Garro.



## Association of Insurance Compliance Professionals<sup>®</sup>

### AICP Annual Business Meeting Virtually Completed

December 15, 2020  
11:00 a.m. EDT

#### Presidents Report

Bri Dahl

Ms. Dahl shared regarding E-Day cancellations during 2020 but want to give recognition to Melissa Pomerene who worked through all the contracts there were in place and needed to be cancelled. She was able to get all contracts cancelled including the Annual Conference contract without paying any penalties.

Coming up in 2021, as mentioned during morning announcements, there is an all chapter E-day being planned, looking at dates in May 2021 and it will be held virtually. We are not planning any in person events until at least June or July 2021. We are still planning to hold the 2021 Annual conference in person in October 2021, while continuing to evaluate travel restrictions. It is possible that the event will be a hybrid version.

We went into 2020 planning to identify future contracts beyond 2021, but due to COVID no additional contracts were signed. This work will start back up in early 2021.

Ms. Dahl wanted to recognize the work the Committees have completed in 2020. The committees were adjusted and redesigned to allow for efficiency and focus. The committees are as follows:

- ATLaS Committee – (Assistance, Training, Logistics and Support) – Katie Gurnett is the chair with the 2020 focus being on documenting best practices and processes for chapter event planning. 2021 will be focusing on leadership training and support.
- Brand and Marketing Committee – Sara McClain is chair and Sue Eckler-Kerns is co-chair. The 2020 focus has been significant in the development of new logo/branding, development of new website, increased blog activity, support for social media efforts. This work will continue in 2021.
- Conference Planning Committee – Scott Whitaker is the chair, and his team did a nice job adapting such that the 2020 conference could be held virtually. He will continue as the chair in 2021.
- Finance Committee – Jim Antush is the chair and the 2020 work focused on process improvement, closely monitored impacts of market volatility on investments.
- Governance Committee – Dan Cotter is the chair and worked closely with Rich Fidei and the board of directors to navigate everything 2020 has thrown our way. This work included contract reviews including how to terminate the contracts due to COVID-19 without financial penalty. In 2021 the committee will be evaluating our immense number of policies and guidelines to create a one-stop-shop operating guide for leadership. The Past President, Rona Finkelstein will work to resume the Nominations process for National Board positions.
- Learning & Development Committee – Kim Miller was the chair, succeeded mid-year by Dave Milligan. In 2020, the committee has been focused on our virtual offerings, evaluating our certifications and designations, and student initiatives. University of Baltimore, St Joseph's University, student memberships, increased webinars, VOEs, Podcasts, and planning for Compliance 101, 201, 202.



## Association of Insurance Compliance Professionals<sup>®</sup>

### AICP Annual Business Meeting Virtually Completed

December 15, 2020  
11:00 a.m. EDT

- Membership Committee – Richard DeSanctis was the chair succeeded mid-year by Thomas Houck and Dana Fyffe. This committee continues to evaluate where we can seek out new members, in different areas of our industry, and what we need to provide them.
- Diversity and Inclusion Committee – This is a brand new committee that does not have a chair assigned yet. This committee is responsible for leading initiatives on training leadership and incorporating more diversity into what AICP offers.

Bri introduced the following as the 2021 Board of Directors:

#### **Executive Committee Officers**

- President – Bri Dahl
- Vice President/President Elect – Karen Pollitt
- Secretary – Scott Whitaker
- Treasurer – Jim Antush
- Past President – Rona Finkelstein

#### **Regional Directors / Chapter Outreach Committee**

- Great Lakes Chapter – Michael Hartman
- Gulf States Chapter – Lindsay McGhee
- Heartland Chapter – Kari Hamrick
- Midwest Chapter – Carmen Brown
- Mid Atlantic Chapter – Richard DeSanctis
- New England Chapter – Rachel Galasso
- South Central Chapter – Dawn Barker
- Northwest Chapter – Nancy Campbell
- Western Chapter – Lori Dreaver Munn

#### **Ex-Officio Officers**

- General Counsel – Dan Cotter
- Executive Director – Melissa Pomerene

#### **IV. Unfinished Business**

No unfinished business to report

#### **V. New Business**

No new business to report.

#### **VI. Adjournment**

Mr. Antush made a motion to adjourn the business meeting with a second from Mr. Whitaker. The meeting was adjourned at 11:30 a.m. Eastern Standard Time.